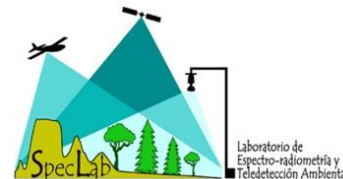


Field Spectroscopy: instrumental issues, characterization and calibration

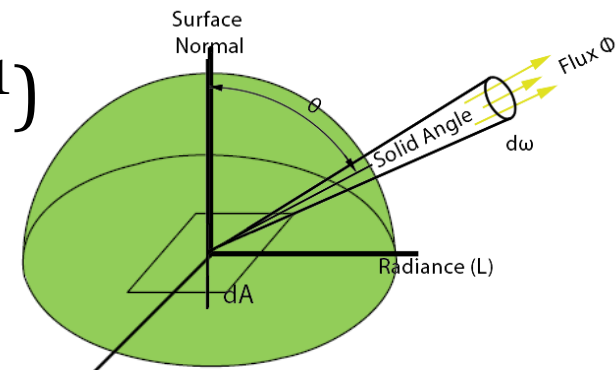
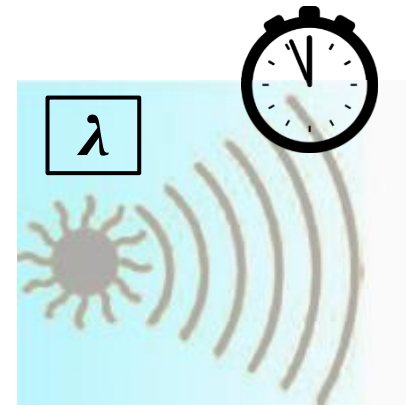
Javier Pacheco-Labrador, PhD
Environmental Remote Sensing and
Spectroscopy Laboratory (SpecLab) - CSIC

1st ASD Users Conference
Madrid, 29 June 2016



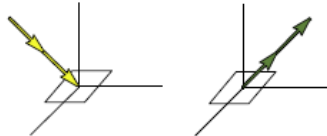
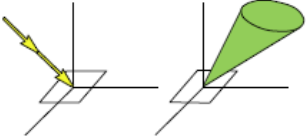
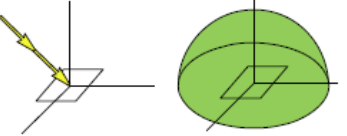
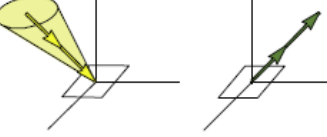
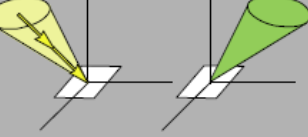

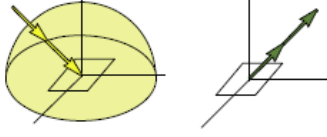
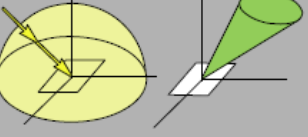
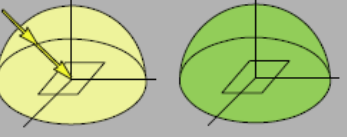
1. Radiometric quantities

- Radiant Energy (Q_e ; J)
- Radiant flux ($\phi_e = \frac{\partial Q_e}{\partial t}$; W)
- Spectral flux ($\phi_{e,\lambda} = \frac{\partial \phi_e}{\partial \lambda}$; W nm⁻¹)
- Radiant exitance ($M_\lambda = \frac{\partial \phi_{e,\lambda}^\uparrow}{\partial A}$; W m⁻² nm⁻¹)
- Irradiance ($E_\lambda = \frac{\partial \phi_{e,\lambda}^\downarrow}{\partial A}$; W m⁻² nm⁻¹)
- Radiance (L_λ ; W m⁻² sr⁻¹ nm⁻¹)



1. Radiometric quantities

Relation of incoming and reflected radiance terminology used to describe reflectance quantities

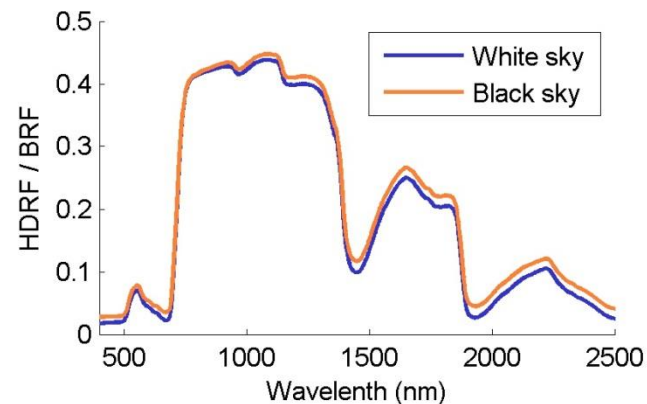
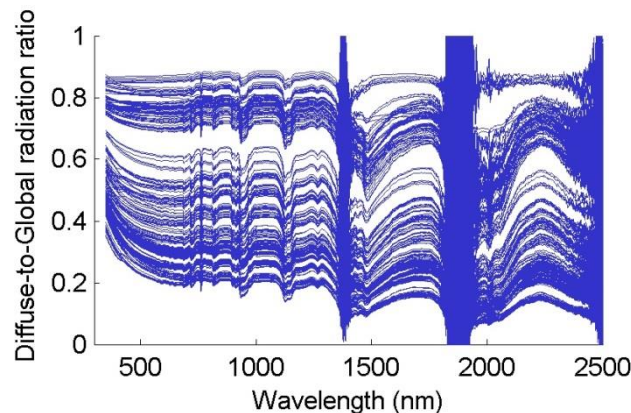
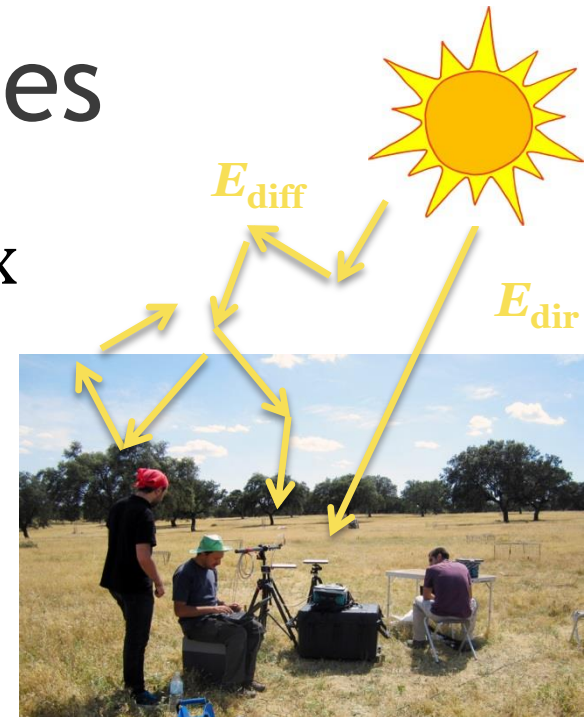
| Incoming/Reflected | Directional | Conical | Hemispherical |
|----------------------|--|--|--|
| <i>Directional</i> | Bidirectional CASE 1  | Directional–conical CASE 2  | Directional–hemispherical CASE 3  |
| <i>Conical</i> | Conical–directional CASE 4  | Biconical CASE 5  | Conical–hemispherical CASE 6  |
| <i>Hemispherical</i> | Hemispherical–directional CASE 7  | Hemispherical–conical CASE 8  | Bi-hemispherical CASE 9  |

Schaepman-Strub
et al, 2006

The labeling with 'Case' corresponds to the nomenclature of Nicodemus *et al.* (1977). Grey fields correspond to measurable quantities (Cases 5, 8), the others (Cases 1–4, 6, 7, 9) denote conceptual quantities. Please refer to the text for the explanation on measurable and conceptual quantities.

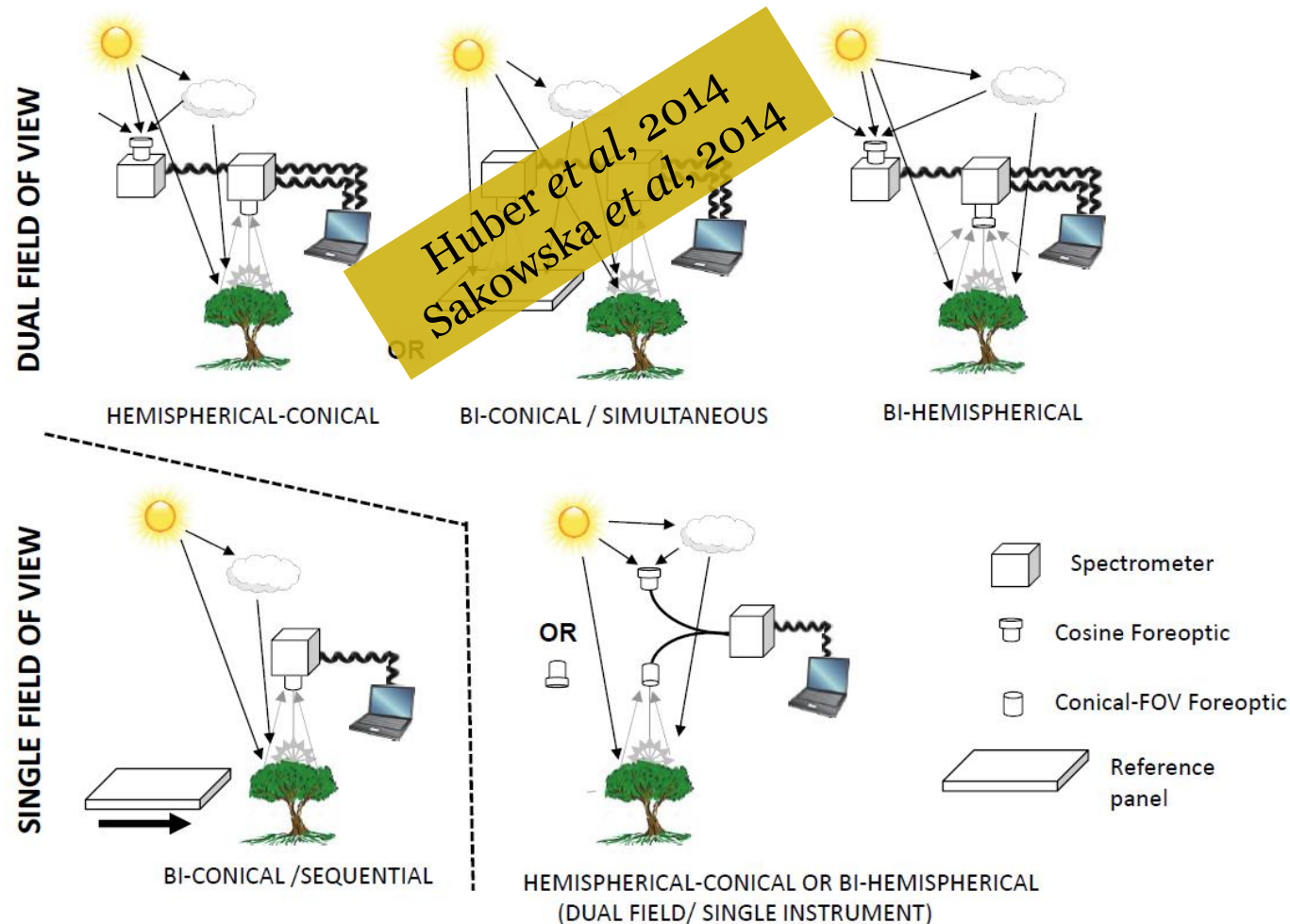
1. Radiometric quantities

- Hemispherical down-welling flux
 - Field (HCRF)
 - $E_{\text{tot}} = E_{\text{dir}} + E_{\text{diff}}$
 - $\text{DGr} = E_{\text{diff}} / E_{\text{tot}}$



2. Sampling radiant fluxes

- Sampling strategies



2. Sampling radiant fluxes

T. Malthus *et al* 2010.
ASD workshop, Boulder

- Down-welling spectral flux

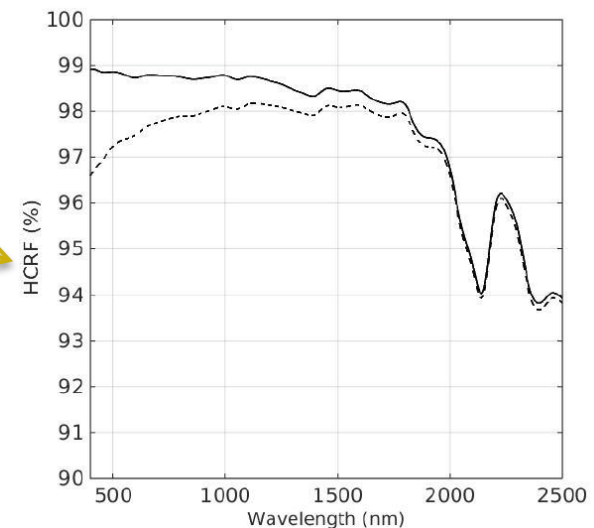
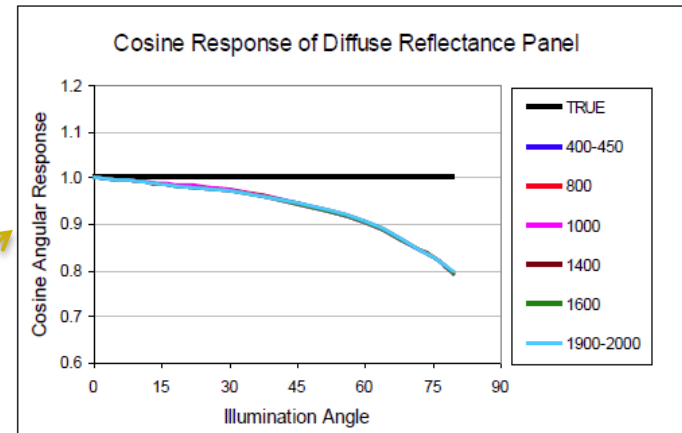
- Hemispherical

- Cosine diffuser head
 - Spectralon[®] panels

$$E_{\lambda} \approx \frac{L_{\lambda} \cdot \pi}{\rho_{\text{Spectralon}}}$$

- Issues

- Directional response
 - Panel calibration

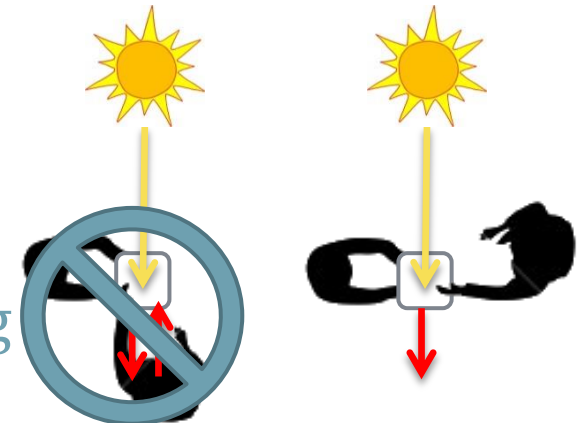


Mac
Arthur,
2015.
SWAMP
Training
Course,
Poznan

Midday normalized
irradiance,
1st August 2013

2. Sampling radiant fluxes

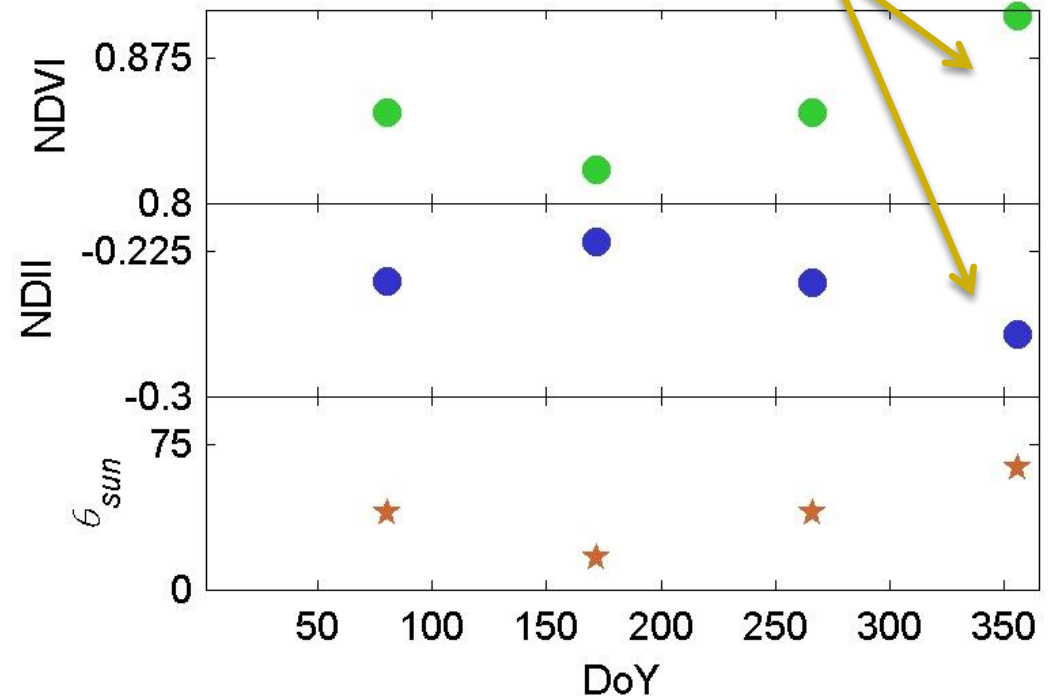
- Atmospheric variations
 - Not everything is visible
 - R-T-T-T-T-T-T-T-T-T-T-T
 - R-T-T-T-R-T-T-T-R-T-T-T-R
 - R-T-R-T-R-T-R-T-R-T-R-T
- Covering the hemisphere
 - Macroscopic Heisenberg's Uncertainty Principle
 - Diffuse conditions = larger effect
- Pistol grip shade / speckle
- Avoid backwards scatter / shading



2. Sampling radiant fluxes

- Solar elevation
 - Rule of thumb: midday ± 1 h
 - Seasonal variation of solar zenith angle!

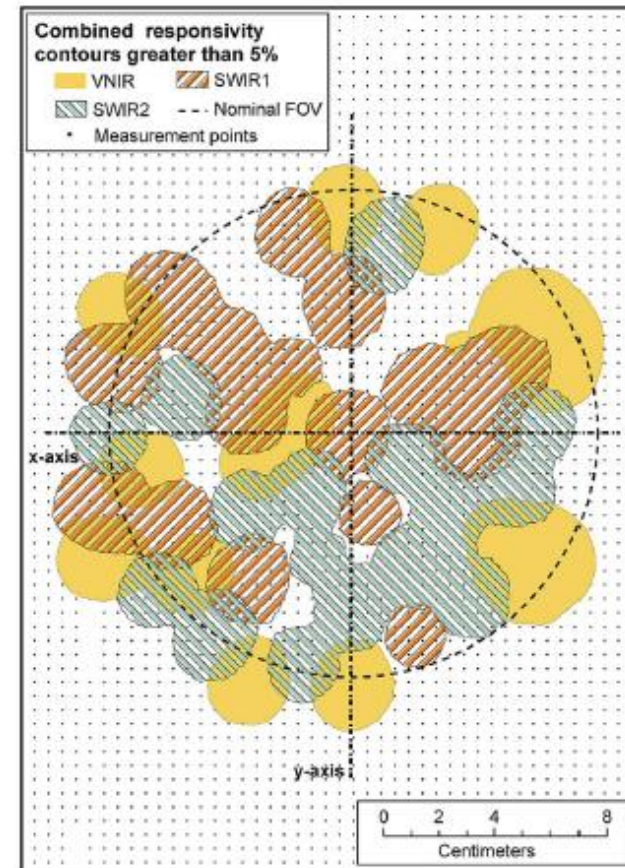
Denser,
greener and
more humid
vegetation
during winter



SVIs for the same vegetation canopy and atmospheric conditions under different θ_{sun} during 2016 equinoxes and solstices, Cáceres, Spain

2. Sampling radiant fluxes

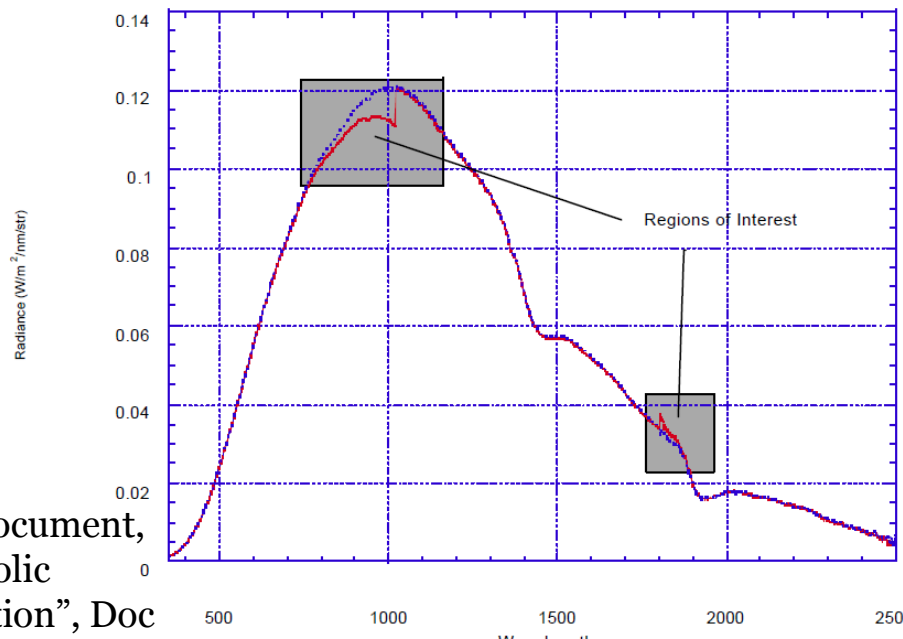
- Up-welling spectral flux (& down)
 - Conical
 - Issues
 - FOV definition
 - Full Width Half Maximum
 - From where does L_λ comes from?
 - Directional Response (λ)
 - Single core fiber
 - Lens
 - Fiber bundle



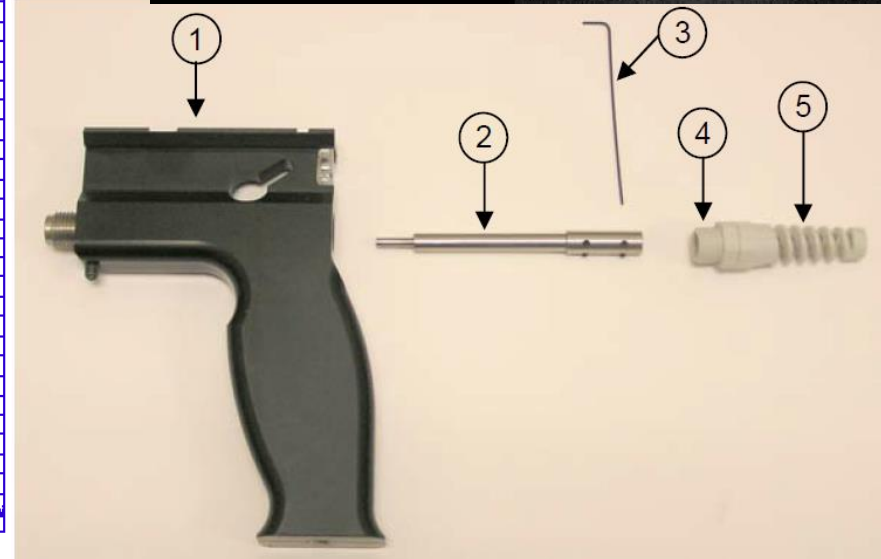
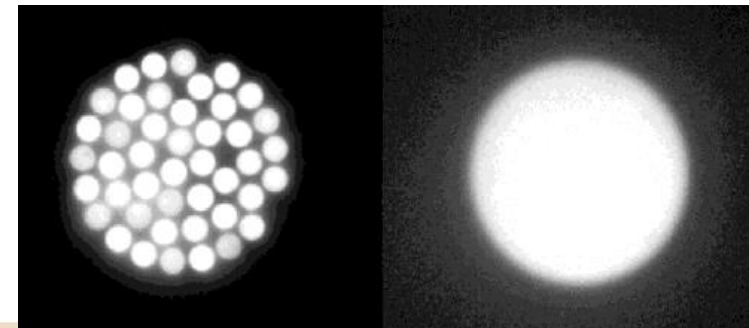
2. Sampling radiant fluxes

- ASD FR Field of View

Instrument #632's Response and Radiometric Conversions
After 10 and 80 Minute Warmup Periods,
Using a Calibrated Irradiance Source



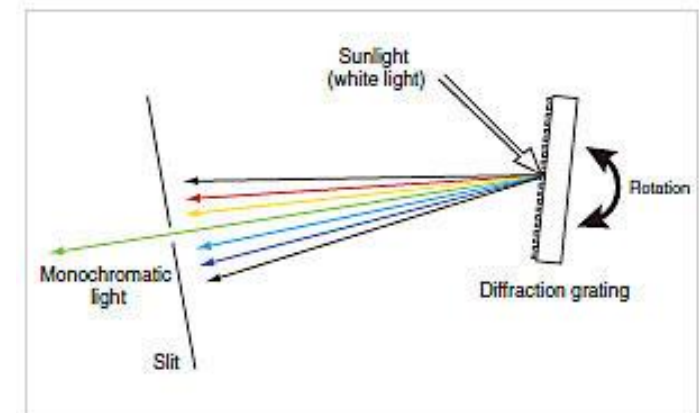
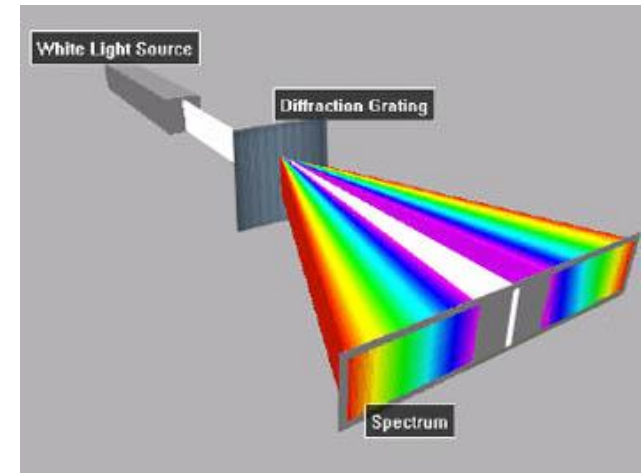
ASD Document,
“Parabolic
Correction”, Doc
ID 65, 2009/07/21



ASD Scrambler, ASD# 600967 Rev A

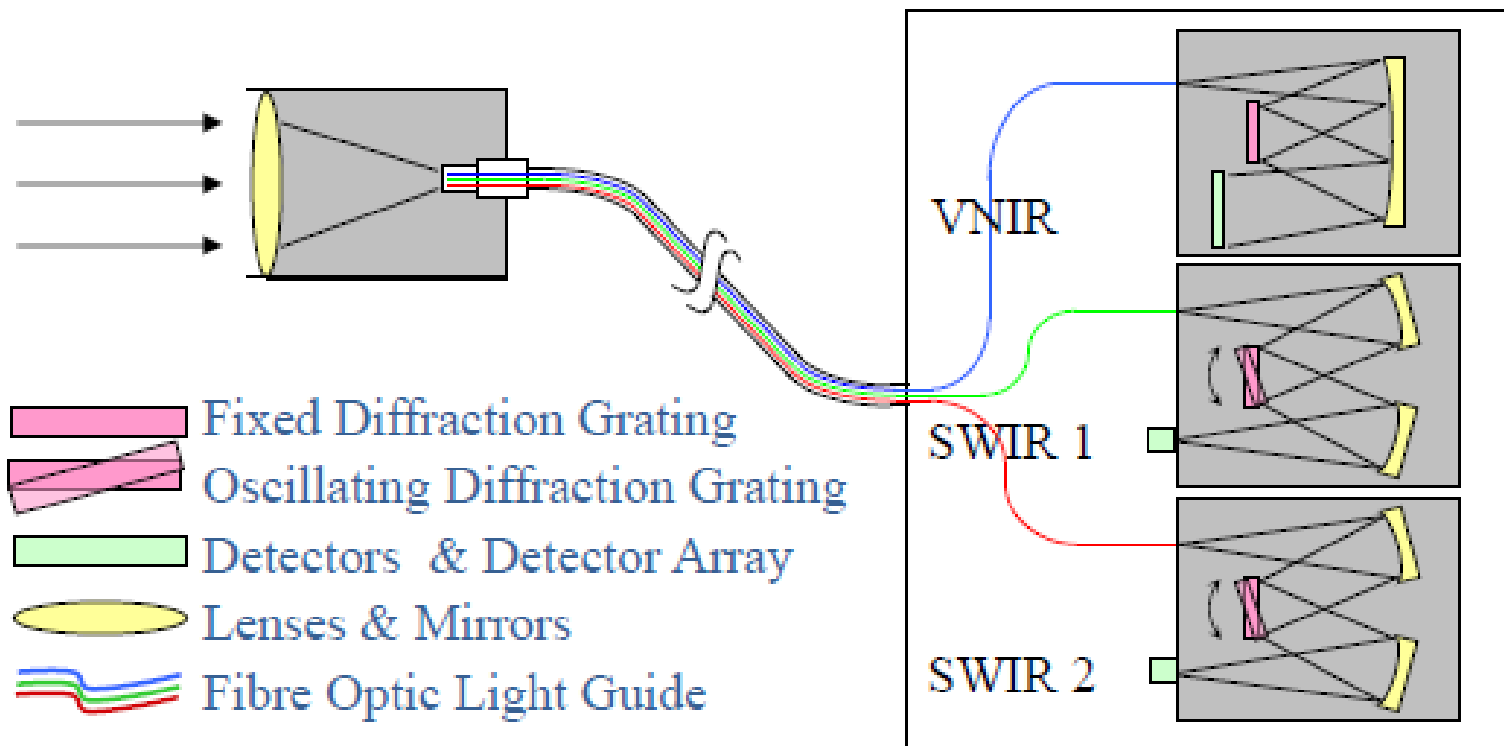
3. Splitting the signal

- Diffraction grating
 - Grooved surface that splits and diffracts light into several beams travelling in different directions as function of λ
 - Fixed
 - Array photodiodes
 - Oscillating
 - Single sensor



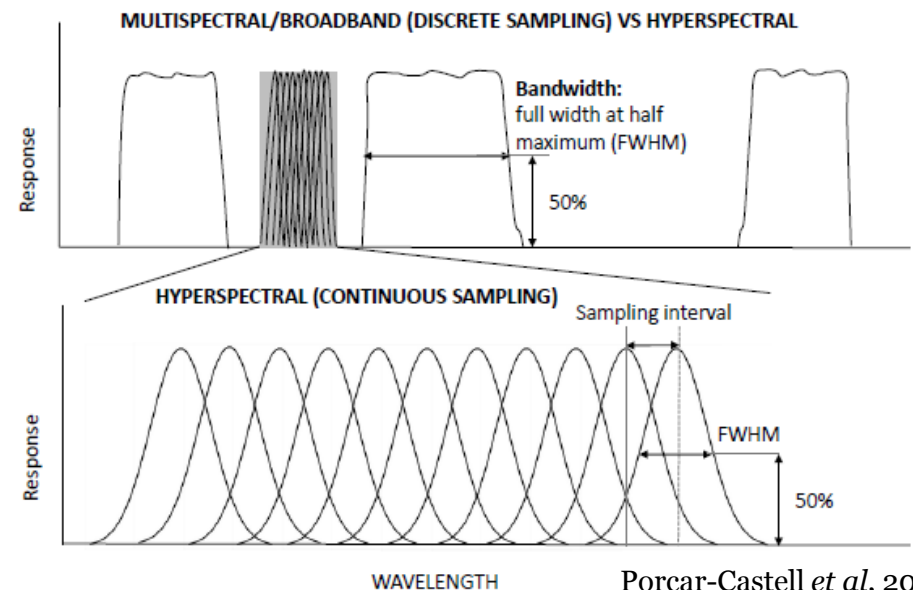
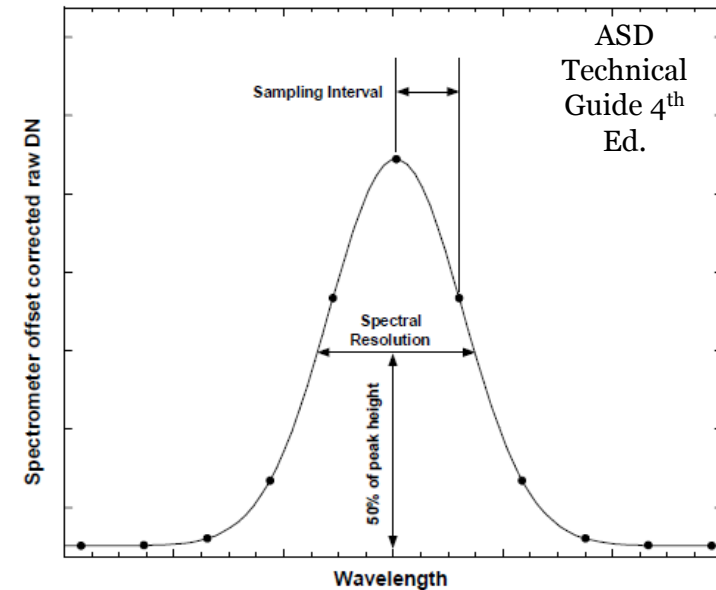
3. Splitting the signal

ASD FieldSpec™ systems



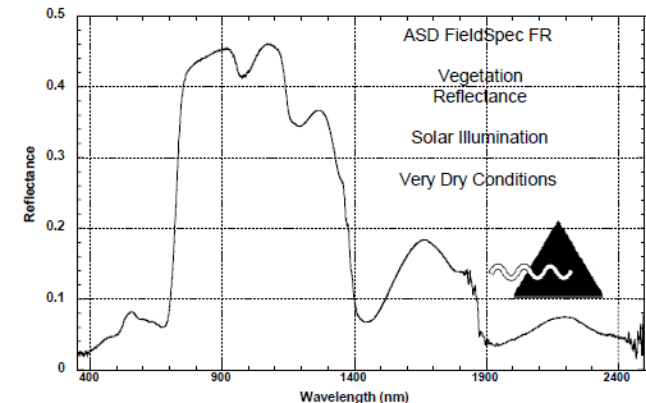
3. Splitting the signal

- Spectral resolution
 - FWHM
- Spectral Sampling Interval
 - 2-5 times FWHM

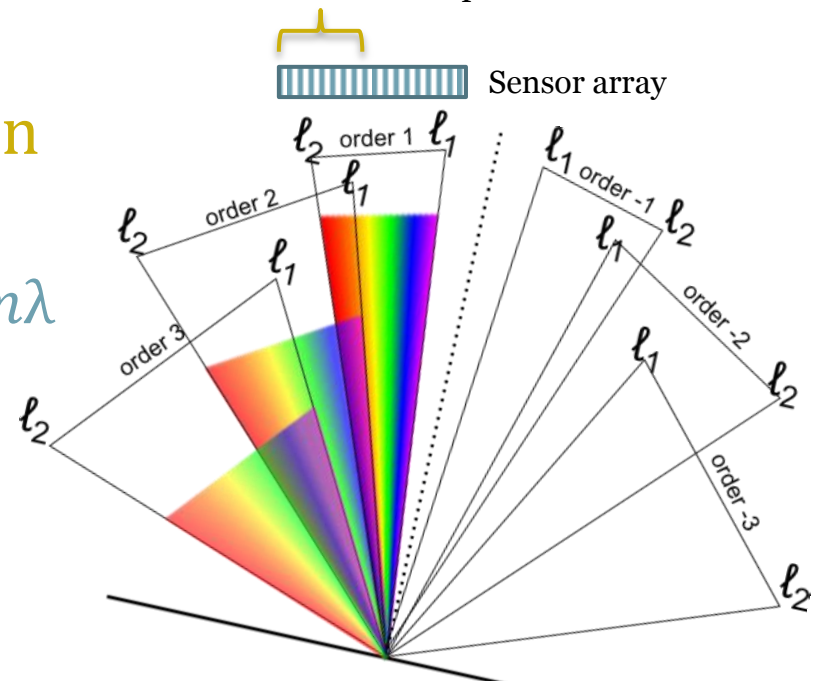


3. Splitting the signal

- Stray light
 - Radiance reflected by elements in the optical path
 - Ghost orders in diffraction gratings
 - $d(\sin \theta_{\text{inc}} \pm \sin \theta_{\text{diff}}) = m\lambda$
 - d: grooves distance
 - m: order [-3,3]

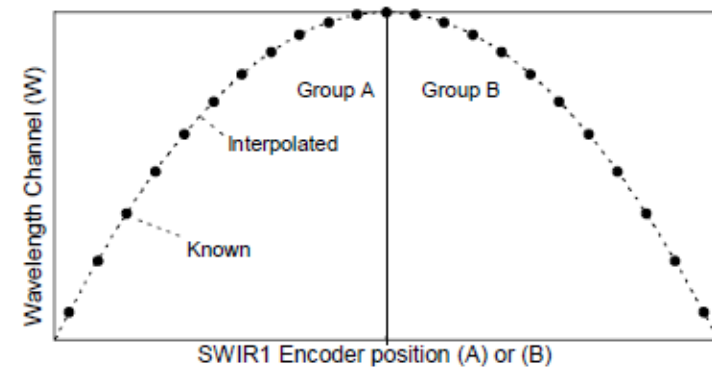


1st and 2nd order overlap

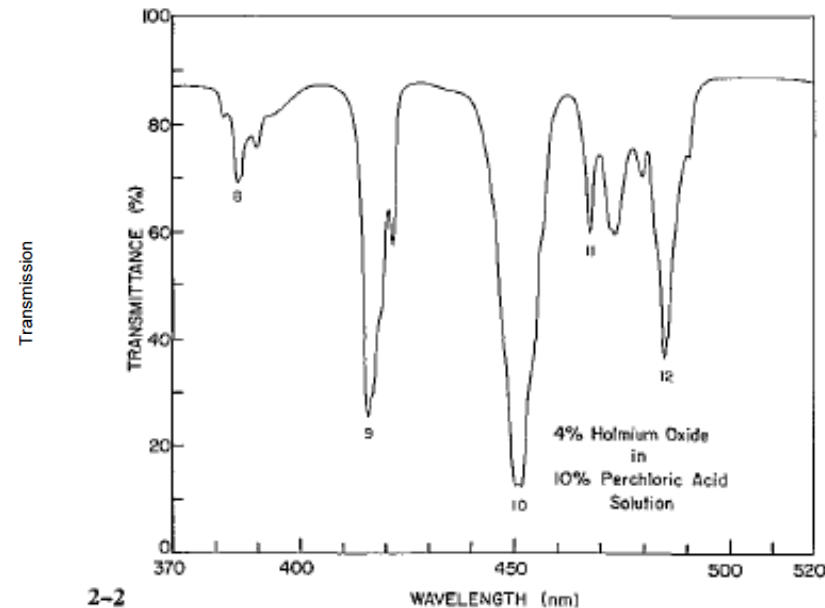


3. Splitting the signal

- Spectral calibration
 - $\lambda = F(n)$; n : pixel number
 - Methods
 - Emission lamps
 - Hg-Ar, Kr, Ne, Xe, Na...
 - Filters
 - Maylar, Erbium and holmium oxide



ASD Technical Guide 4th Ed.



Weidner *et al*, 1985

3. Splitting the signal

- **Issues:**

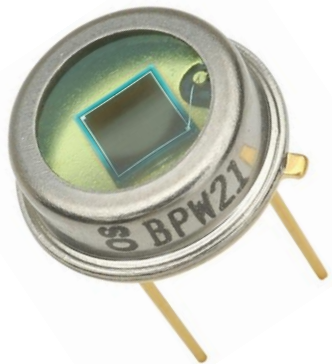
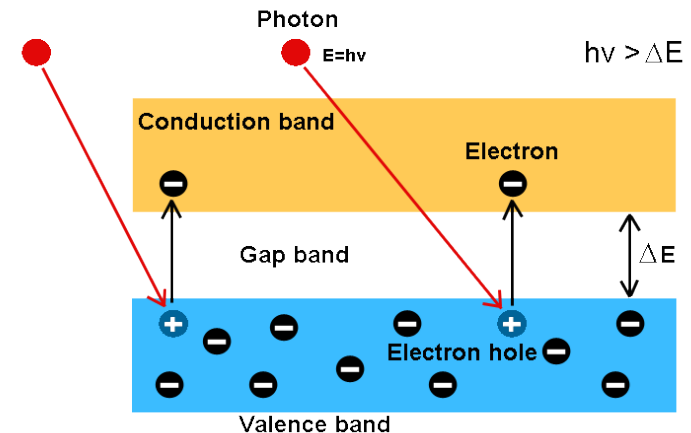
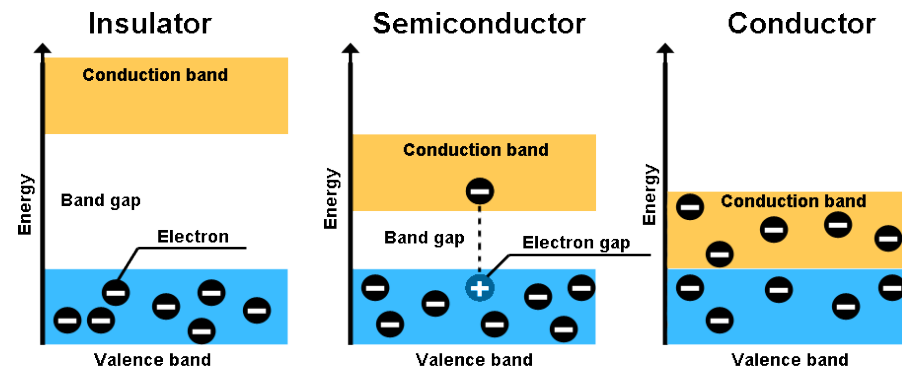
- Spectrometer spectral features are thermally dependent
 - Thermally-induced expansion/contraction of spectrometer and optical system
 - Variations in optical path/system geometry
 - Spectral calibration, SSI, FWHM
 - Subtle changes, significance application-dependent

4. Sensing the photons

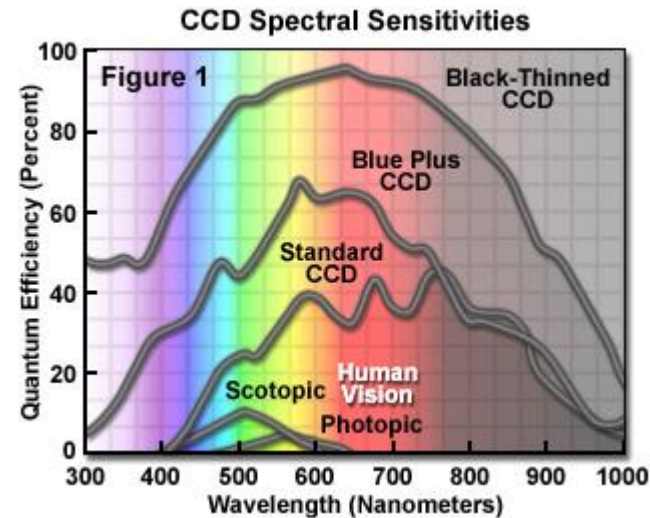
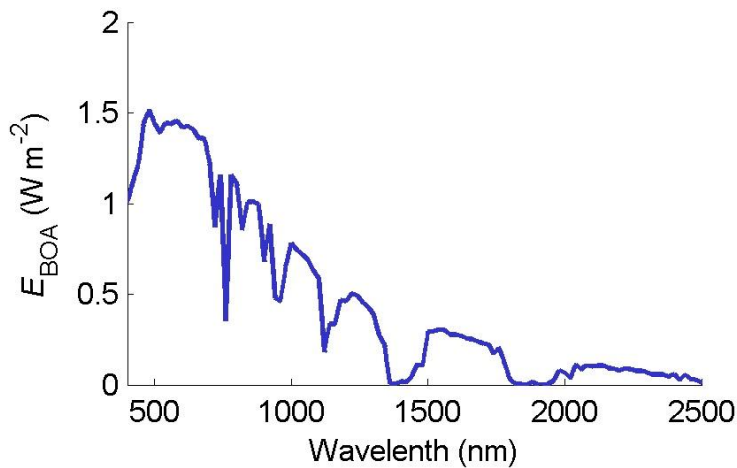
<http://www.physicsexperiment.co.uk>

Band theory of conductivity

- Photodiode
 - A photodiode is a semiconductor device that converts radiation into a electrical current



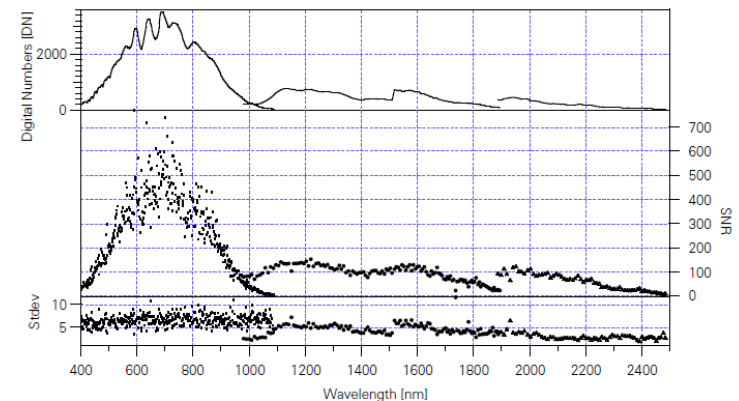
4. Sensing the photons



Hamamatsu
Learning
Center

- Signal-to-noise ratio (SNR)

$$SNR = \frac{N_{total} - N_{dark}}{\sqrt{\sigma^2(N_{total}) + \sigma^2(N_{dark})}}$$



Schaepman, 1998

4. Sensing the photons

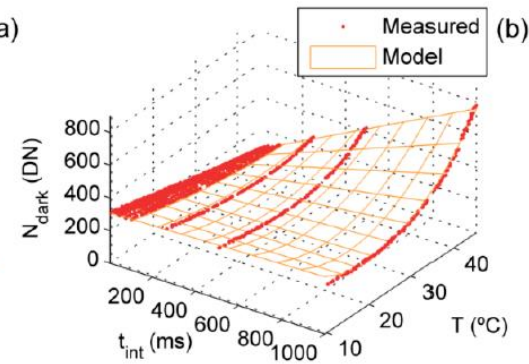
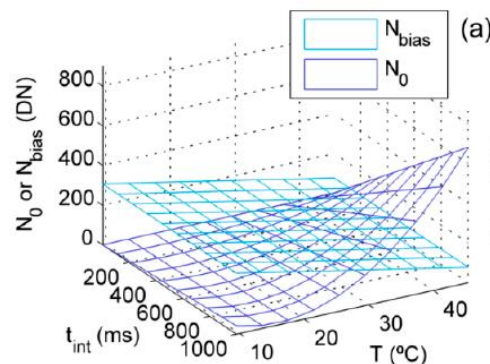
- Dark current

- Electric current generated in absence of radiation by due to the random generation of electrons and holes within the depletion region of the device.

- $N_{\text{dark}} = F(t_{\text{int}}, T)$

- Electronics can introduce biases (T)

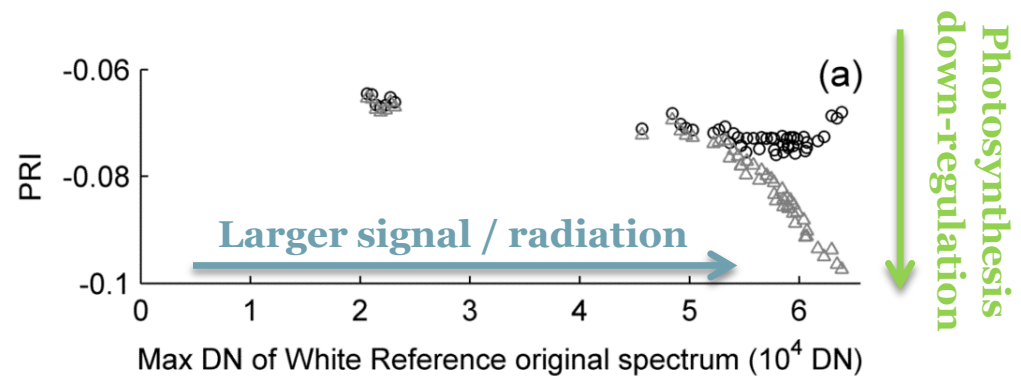
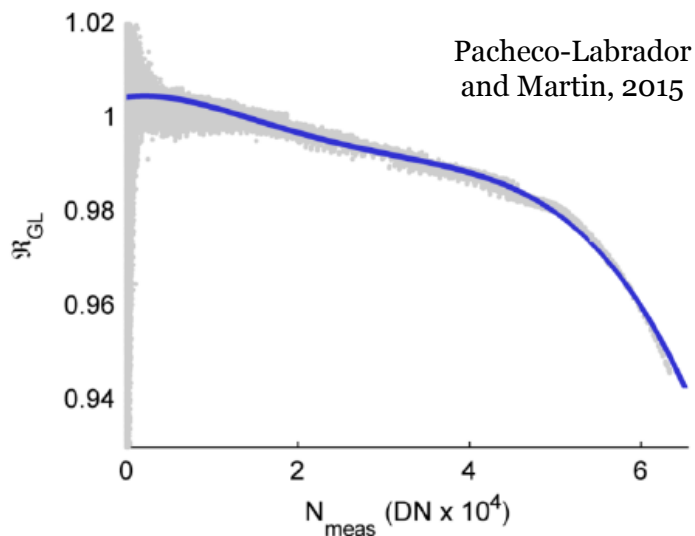
Take Dark Current measurements frequently!!



4. Sensing the photons

- Linearity and Non-Linearity (NL)
 - Input-Output relationship
 - Gray-level-related NL
 - Signal transformations due to transport and reading

Optimize!!



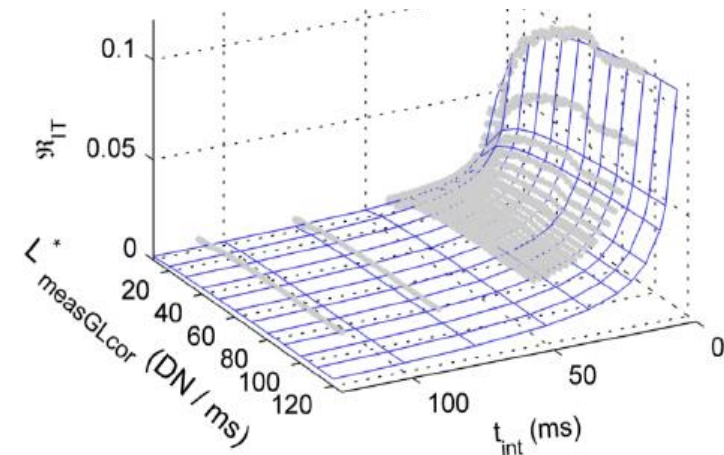
Pacheco-Labrador and Martin, 2014

4. Sensing the photons

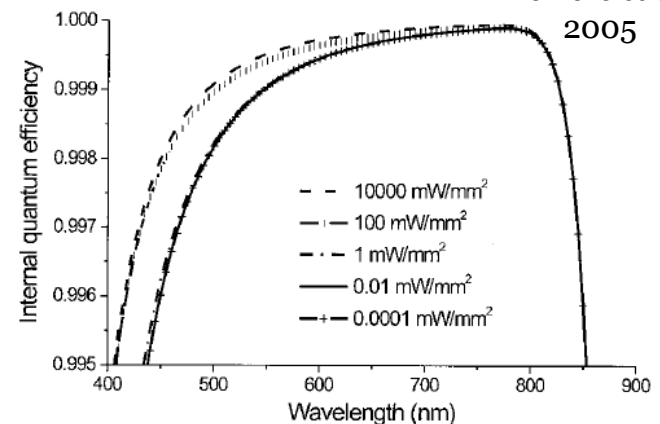
- **Integration time-related NL**
 - Photons striking the sensor during readout time
- **Irradiance-related NL**
 - Supraresponsivity: responsivity increase in response to a rise in incident radiation.
 - Recombination saturates and more charge contributes to response

$$\mathcal{R}_{IT} = 1 + r \frac{t_{\text{readout}}}{t_{\text{int}}}$$

Pacheco-Labrador and Martin, 2015



Ferrero *et al*,
2005



4. Sensing the photons

- Temperature dependence of responsivity
 - Sensitivity increases, λ dependent

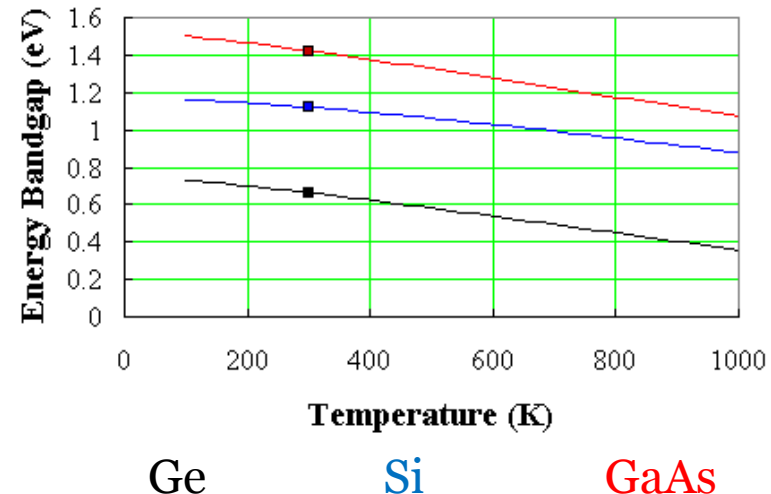
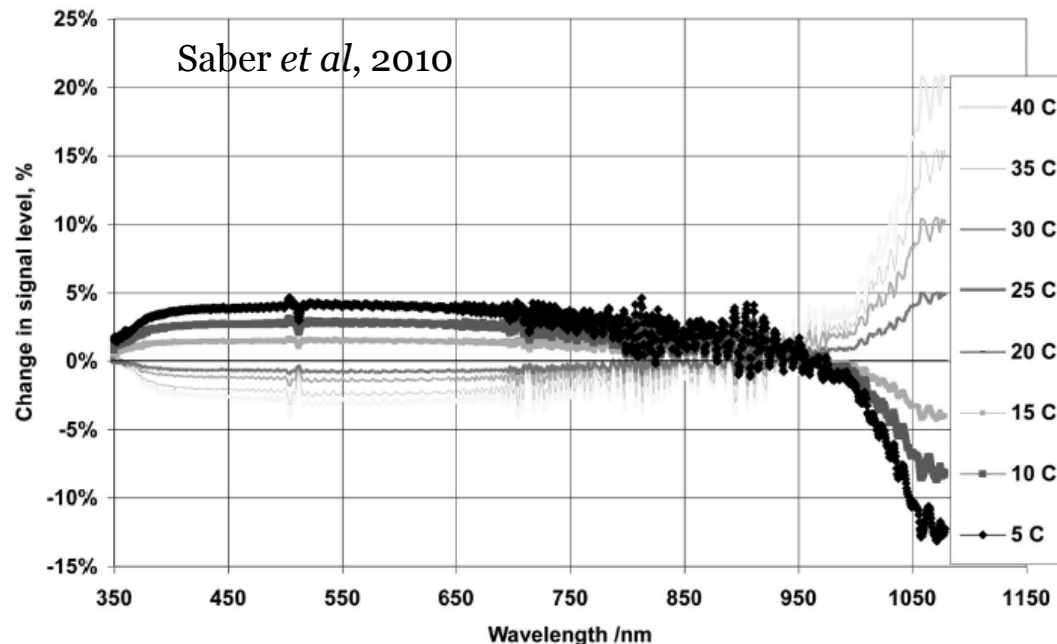


Fig. 2. Percentage change in the spectrometer response at different temperatures, relative to its response at 20 °C.

4. Sensing the photons

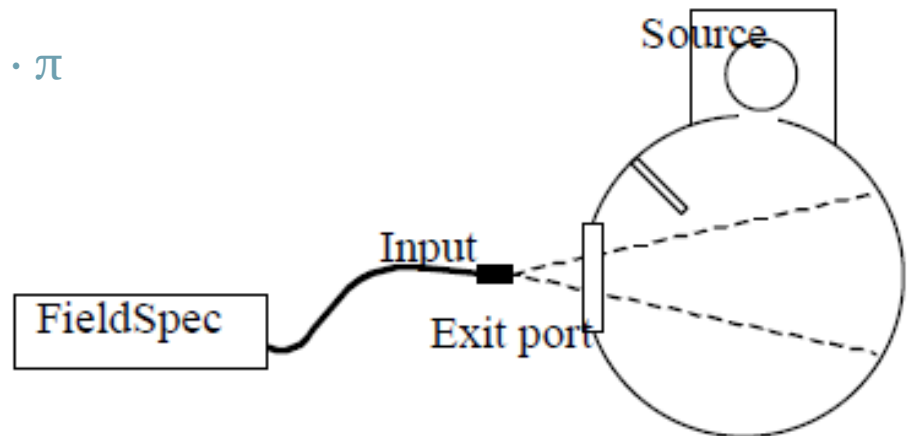
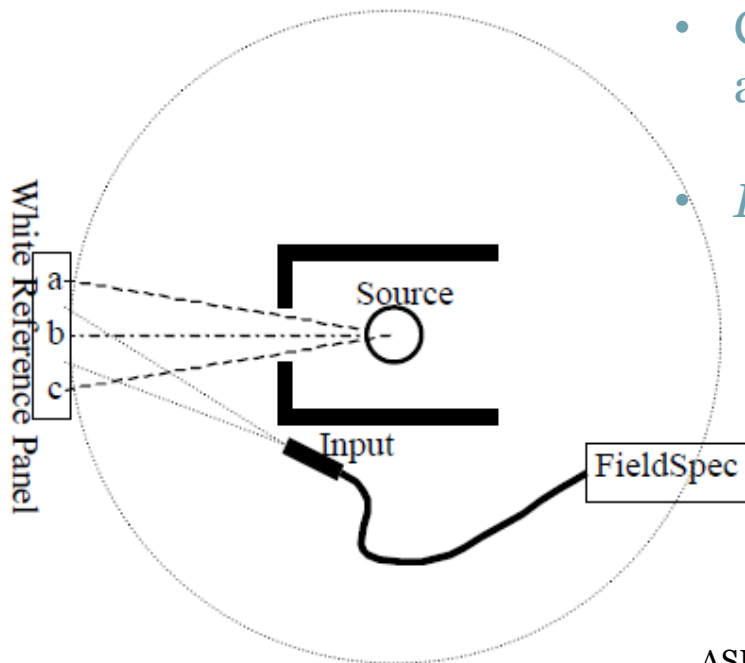
- Radiometric Calibration

- $L = F(\text{DN})$

- Instrument warm-up for 1.5 hours

- Calibrated (NIST traceable) E source powered by a stable DC current-regulated supply

- $E \approx L \cdot \pi$



5. Conclusions

- Several instrumental and environmental factors affect our measurements, confounding or producing spurious relationships with variables of interest
- Operator decisions, instrumentation setup and sampling protocol can reduce or enhance those effects
 - Understand what you do
 - Develop protocols that ensure reliability
 - Make quick decisions and adapt to changing conditions
- Sources of uncertainty must be known and considered when results are analyzed, uncertainty should be quantified

5. Conclusions

- Instrumentation characterization allows correcting and quantifying the impact of errors and make decisions.
 - First and foremost, in the laboratory
 - In the field, perform Dark Current, Optimization and Reference measurements as frequently as possible!!
- Calibration of magnitudes (L), in the laboratory under controlled conditions when measurements are done in the field
- The error you can afford depends on the needs of each specific application!!

Thanks!!

